

# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type) Steven F. Goldstein Signature [Signature] Date 11-26-2001



## TRANSMITTAL

☐ Small Entity

☒ Large Entity

Application Number	09/803,719
Confirmation Number	9010
Filing Date	March 9, 2001
First Named Inventor	Lewis T. Williams et al.
Examiner	Unassigned
Group Art	1645
Attorney Docket No.	2300-1624

### ENCLOSED:

	Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
<input type="checkbox"/> Amendment Under Rule	Total	39	20	19	18	\$ 342.00
<input type="checkbox"/> 37 CFR §	Independent	6		3	84	\$ 252.00
<input type="checkbox"/> Pages	Multiple	1				\$ 280.00
	Total Extra Claim Fees					\$ 874.00

☒ Applicants Petition for an Extension of time from 06-26-2001 to 11-26-2001 Fee \$1,960.00  
*A \_ month extension was previously filed and paid for thereby reducing the basic fee*

### ☒ Response to File Missing Parts (with copy of formalities letter)

<input checked="" type="checkbox"/> Filing Fee	Fee	\$740.00
<input checked="" type="checkbox"/> Executed Declaration Pages <u>8</u>	Surcharge Fee	\$130.00
<input checked="" type="checkbox"/> Other <u>1. Petition Under 37 CFR Section 1.47(A)</u>	Fee	\$130.00
<u>2. Declaration by Nancy Swanson 3. Proof of Pertinent facts: a) Email of October 18, 2001 b) Email of October 1, 2001</u>	Fee	
<u>c) November 12, 2001 Letter from Nancy Swanson to Michael A. Innis</u>	Fee	
<u>d) Federal Express shipping receipt e) Email of November 20, 2001</u>	Fee	
	Subtotal	\$1,000.00

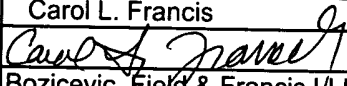
### ☐ Information Disclosure Statement

<input type="checkbox"/> PTO Form 1449	Pages		Fee	
<input type="checkbox"/> Copies of Cited References			Subtotal	\$ -
<input type="checkbox"/> Other				

### ☐ Response to Notice to Comply (with copy of Notice to Comply)

<input type="checkbox"/> Sequence Listing Certification			Fee	
<input type="checkbox"/> Paper Copy of Sequence Listing	Pages			
<input type="checkbox"/> Diskette in computer-readable format				
<input type="checkbox"/> Other				

01/16/2002 BHABTEW 00000037 500315 09803719 1960.00 CH 07 FC:128

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee \$ -
		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		
<input checked="" type="checkbox"/> Return Receipt Postcard		<b>TOTAL FEES</b> \$3,834.00
<p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p>		
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</b>		
Name (Print/Type)	Carol L. Francis	Registration No. 36,513
Signature		Date 11-26-2001
Firm Name	Bozicevic, Field & Francis LLP	Address 200 Middlefield Road, Suite 200
City	Menlo Park	State California zip 94025
Telephone - Direct Dial	650-327-3400	Facsimile 650-327-3231

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NO. 8359

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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,719	03/09/2001	Lewis T. Williams	2300-1624

CONFIRMATION NO. 9010

## FORMALITIES LETTER



\*OC000000006011334\*

 Chiron Corporation Intellectual Property -R440  
 PO Box 8097  
 Emeryville, CA 94662-8097

Date Mailed: 04/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$852.
  - \$342 for 19 total claims over 20.
  - \$240 for 3 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1692.

A copy of this notice **MUST** be returned with the reply.


 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 BHABTEW 00000037 500815 09803719

01 FC:101	740.00	CH
02 FC:103	342.00	CH
03 FC:102	252.00	CH
04 FC:104	280.00	CH
05 FC:105	130.00	CH